

PETERBOROUGH CITY COUNCIL
AUDIT REVIEWS UNDERTAKEN DURING PREVIOUS 3 YEARS

| 2021/22 (includes reviews in progress) | 2020/21 | 2019/20 |
|---|---|---|
| Annual Governance and Assurance | | |
| Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2020/21) Annual Audit Plan and Strategy Internal Audit Effectiveness Audit Committee Effectiveness / Support Shareholder Cabinet Committee (activity reporting) | Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2019/20) Annual Audit Plan and Strategy Internal Audit Effectiveness Audit Committee Effectiveness | Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2018/19) Annual Audit Plan and Strategy Internal Audit Effectiveness Audit Committee Effectiveness |
| Corporate Governance and Risk Management | | |
| Risk Management (Management Board and Strategic Review) Risk Management (Shared Service) Shareholder Cabinet Committee – Follow Up | Risk Management (Management Board and COVID-19 Risk Register) External Bodies Oversight – Shareholder Cabinet Committee | Risk Management (Management Board and COVID-19 Risk Register) Information Governance Framework (F/Up) |
| Information Governance | | |
| Information Governance (Shared Service Strategic Board) Cyber Security Remote Working – Data Protection | Information Governance (Shared Service Strategic Board attendance) Adult Social Care – Website Data Quality | Information Governance (Shared Service Strategic Board attendance) |

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| Anti- Fraud Culture | | |
| National Fraud Initiative Anti-Fraud Policies | National Fraud Initiative Anti-Fraud Policies | National Fraud Initiative Anti-Fraud Policies Fraudulent Cheques Cyber Attack – August 2019 |
| Financial Systems | | |
| Procurement Card System Follow-up Energy Management Follow up Payroll and Pension Processes Sundry Debtors Sundry Debtors Follow up Waste Collection Refunds | Financial Control Framework Business Model Working Group Home Services Delivery Payment BACS Bureau Energy Management - Follow up | Savings Targets Mosaic Payment Controls Procurement Card System Energy Management |
| Contracts and Procurement | | |
| Milestone Infrastructure Ltd Contract Approvals (previously Skanska) Integrated Community Equipment Service (CCC Lead) | | Espo Northminster MSCP – Closure Review Materials Recycling Facility Clare Lodge Contract Procurement Processes |

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| Projects and Programme Management | | |
| Payment Data Analysis Programme and Project Management Town Fund Deal Town Fund Assurance Certification Middleholme Project Disaster Recovery Project Digital Signatures Project | Aragon Transition Project – Follow up HR Management System | Transition of Amey Contract to Council LATCo Agresso – Periodic Supplier Payments |
| External Organisations | | |
| City Culture Peterborough | Norfolk Property Services – Follow up Mayors Charity – Follow Up Newborough Parish Council | Peterborough Investment Partnership Norfolk Property Services Peterborough Mayors Charity – Processes Aragon Services – Statutory Testing Hampton Hargate School NCTL The Phoenix School NCTL |

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| COVID-19 – Grant Schemes or Process Change Reviews resulting from the pandemic | | |
| COVID-19 Business Support Grant Schemes | COVID-19 Corporate Process Changes COVID-19 Business Support Grant Schemes COVID-19 Winter Grant Scheme COVID Hub – Food Parcels COVID Infection Control Grant Test and Trace Isolation Payments Supplier Relief Payment Controls Procurement Card System | |
| Service Delivery | | |
| Business Continuity – Follow Up and Operational Risk Registers Teachers Pensions – Premature Retirement F/up Financial Resilience – Business Improvement Plan Working Group Safeguarding Clients Assets (shared Service) | Business Continuity – Strategy (PCC/CCC shared Service) Health and Safety follow-up Teachers Pensions – Premature Retirement Free School Meals | 0-25 Disabilities Service in Peterborough and Cambridge (Shared Service) IT Asset Management Community Infrastructure Levy Health and Safety Apprenticeship Levy Scheme Armed Forces |

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| Service Delivery Cont: | | |
| Regional Adoption Agency (shared service) Absence Management Absence Management Follow up IT Asset Management Follow up Payments in Lieu of Notice ITSM System Approval Process | SEN Payments All Saints Church of England School | Disabled Facilities –in house system processes Safeguarding Action Plan –follow up Lone Working Taxi Licencing Gifts and Hospitality IT Asset Management |
| Grants and Other Certification | | |
| Highways and Infrastructure Grants (5) Bus Service Operations Home to School Transport Additional Funding Disabled Facilities Connecting Families Mayors Charity Fund Emergency Active Travel Grant Hampton Hargate NCTL Grant Arts Council Covid Recovery Fund (3) COVID-19 Compliance and Enforcement | Highways and Infrastructure Grants (5) Bus Service Operations Taxi Infrastructure Disabled Facilities Grants Connecting Families Mayor’s Charity Fund | Highways and Infrastructure Grants (5) Bus Service Operations Taxi Infrastructure Disabled Facilities Grants Connecting Families Mayor’s Charity Fund Carbon Reduction Commitment |

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